

UNITED STATES DISTRICT COURT  
DISTRICT OF PUERTO RICO**CATEGORY SHEET**

You must accompany your complaint with this Category Sheet, and the Civil Cover Sheet (JS-44).

Attorney Name (Last, First, MI): Anduze-Montaña, Harry

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1. Title (caption) of the Case (provide only the names of the first party on each side):

Plaintiff: Union de Empleados de Muelles de Puerto Rico PRSSA Welfare Plan, et al.

Defendant: UBS Financial Services Incorporated of Puerto Rico

2. Indicate the category to which this case belongs:

☒ Ordinary Civil Case

☐ Social Security

☐ Banking

☐ Injunction

3. Indicate the title and number of related cases (if any).

4. Has a prior action between the same parties and based on the same claim ever been filed before this Court?

☐ Yes

☒ No

5. Is this case required to be heard and determined by a district court of three judges pursuant to 28 U.S.C. § 2284?

☐ Yes

☒ No

6. Does this case question the constitutionality of a state statute? (See, Fed.R.Civ. P. 24)

☐ Yes

☒ No

Date Submitted: 2/19/10